



RCE/11/200  
11/2/02  
12/8

<b>REQUEST</b>  <b>For</b>  <b>CONTINUED EXAMINATION (RCE)</b>  <b>TRANSMITTAL</b>  <small>Subsection (b) of 35 U.S.C. § 132, effective on May 29, 2000, provides for continued examination of a utility or plant application filed on or after June 8, 1995. See The American Inventors Protection Act of 1999 (AIPA)</small>	<b>Application Number</b>	09/553,107
	<b>Filing Date</b>	April 20, 2000
	<b>First Named Inventor</b>	Edward S. Ellis, et al.
	<b>Group Art Number</b>	1764
	<b>Examiner Name</b>	Jerry D. Johnson
	<b>Attorney Docket Number</b>	GJH-0018

**This is a Request for Continued Examination (RCE) under 37 C.F.R. § 1.114 of the above-identified application.**

**NOTE:** 37 C.F.R. § 1.114 is effective on May 29, 2000. If the above-identified application was filed prior to May 29, 2000, applicant may wish to consider filing a continued prosecution application (CPA) under 37 C.F.R. § 1.53(d) (PTO/SB/29) instead of a RCE to be eligible for the patent term adjustment provisions of the AIPA. See Changes to Application Examination and Provisional Application Practice, Final Rule, 65 Fed. Reg. 50092 (Aug. 16, 2000); Interim Rule, 65 Fed. Reg. 14865 (Mar. 20, 2000), 1233 Off. Gaz. Pat. Office 47 (Apr. 11, 2000), which established RCE practice.

**1. Submission required under 37 C.F.R. § 1.114**

- a. ☒ Previously submitted
- i. ☒ Consider the amendment(s)/reply under 37 C.F.R. § 1.116 previously filed on 09/25/02  
(Any unentered amendment(s) referred to above will be entered).
- ii. ☐ Consider the arguments in the Appeal Brief or Reply Brief previously filed on
- iii. ☐ Other
- b. ☐ Enclosed
- i. ☐ Amendment/Reply
- ii. ☐ Affidavit(s)/Declaration(s)
- iii. ☐ Information Disclosure Statement (IDS)
- iv. ☐ Other



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**2. Miscellaneous**

- a. ☐ Suspension of action on the above-identified application is requested under 37 C.F.R. § 1.103(c) for a period of \_\_\_\_\_ months. (Period of suspension shall not exceed 3 months; Fee under 37 C.F.R. § 1.17(i) required)
- b. ☐ Other

**3. Fees** (The RCE fee under 37 C.F.R. § 1.17(e) is required by 37 C.F.R. § 1.114 when the RCE is filed)

- a. ☒ The Director is hereby authorized to charge the following fees, or credit any overpayments, to Deposit Account No. 05-1330
- i. ☒ RCE fee required under 37 C.F.R. § 1.17(e)
- ii. ☐ Extension of time fee (37 C.F.R. §§ 1.136 and 1.17)
- iii. ☐ Other
- b. ☐ Check in the amount of \$\_\_\_\_\_ enclosed
- c. ☐ Payment by credit card (Form PTO-2038 enclosed)

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TC 1700 MAIL

<b>SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED</b>			
Name (Print/Type)	Gerard J. Hughes	Registration No. (Attorney/Agent)	41,855
Signature		Date	25 Oct. 2002

<b>CERTIFICATE OF MAILING OR TRANSMISSION</b>			
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Box RCE, Washington, DC 20231, or facsimile transmitted to the U.S. Patent and Trademark Office on:			
Name (Print/Type)	Judy A. Gould	Date	10/25/02
Signature			

10/31/2002 00000155 056330 0353107

01 FC:1801 740.00 CH

# **FEE TRANSMITTAL** **for FY 2002**

Total Amount of Payment **\$ 740.00**

## **METHOD OF PAYMENT (check one)**

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any over payment to:

Deposit Account Number: **05-1330**

Deposit Account Name: **ExxonMobil Research and Engineering Company**

- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17  
☒ Charge the Issue Fee Set in 37 CFR 1.18 at the Mailing of the Notice of Allowance

## **FEE CALCULATION**

### **1. FILING FEE**

Large Entity Fee Code	Fee Description	Fee Paid
101 740	Utility filing fee	
106 330	Design filing fee	
107 510	Plant filing fee	
108 740	Reissue filing fee	
114 160	Provisional filing fee	

**SUBTOTAL (1)**

### **2. CLAIMS**

Total Claims  - 20 =  x **18.00** =

Independent Claims  - 3 =  x **84.00** =

Multiple Dependent Claims  0 x **280.00** =

### **Large Entity**

Fee Code	Fee\$	Fee Description
103 18		Claims in excess of 20
102 84		Independent claims in excess of 3
104 280		Multiple dependent claim
109 84		Reissue independent claims over original patent
110 18		Reissue claims in excess of 20 and over original patent

**SUBTOTAL (2)**



PATENT TRADEMARK OFFICE

Complete Known

Application Number **09/553,107**  
 Filing Date **April 20, 2000**  
 Inventors **Edward S. Ellis, et al.**  
 Group Art Unit **1764**  
 Examiner Name **Jerry D. Johnson**  
 Attorney Docket Number **GJH-0018**

## **FEE CALCULATION (continued)**

### **3. ADDITIONAL FEES**

Fee Code	Large Entity Fee \$	Fee Description
105 130		Surcharge - late filing fee or oath
127 50		Surcharge - late provisional filing or cover sheet
139 130		Non-English specification
147 2,520		For filing a request for reexamination
112 920*		Requesting publication of SIR prior to Examiner action
113 1,840*		Requesting publication of SIR after Examiner action
115 110		Extension for reply within first month
116 400		Extension for reply within second month
117 920		Extension for reply within third month
118 1,440		Extension for reply within fourth month
128 1,960		Extension for reply within fifth month
119 320		Notice of Appeal
120 320		Filing a brief in support of an appeal
138 1,510		Petition to institute a public use proceeding
140 110		Petition to revive - unavoidable
141 1,280		Petition to revive - unintentional
142 1,280		Utility issue fee (or reissue)
122 130		Petition to the Commissioner
123 50		Petitions related to provisional applications
126 180		Submission of information Disclosure Stmt.
581 40		Recording each patent assignment per property (times number of properties)
146 740		Filing a submission after final rejection (37 CFR 1.129(a))
149 740		For each additional invention to be examined (37 CFR 1.129(b))

179 **740** **Request for Continued Examination (RCE)**  
 Other fee (specify)

\* Reduced by Basic Filing Fee Paid

**SUBTOTAL (3)**

**FEES PAID**

**740.00**

**740.00**

Typed or Printed Name **Gerard J. Hughes**

Signature

Reg. No. **41,855**

Date

**25 Oct 2002**

Deposit Account User ID

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